## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Minefumi OUCHI and Norihiko NAKAHASHI

Serial No.: Currently unknown

Filing Date: Concurrently herewith

For: SURFACE ACOUSTIC WAVE DEVICE AND COMMUNICATION APPARATUS USING THE

SAME

## **INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office 2011 South Clark Place Customer Window, Mail Stop <u>PATENT APPLICATION</u> Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants herewith submit copies of the prior art listed on the attached Information Disclosure Statement (Form PTO-1449), including an English abstract. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Information Disclosure Statement February 10, 2004 Page 2 of 2

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: February 10, 2004

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number

Complete if Known
Application Number
Filing Date
First Named Inventor Minefumi OUCHI
Art Unit
Examiner Name
Attorney Docket Number 36856.1212

				J.S. PATENT	DOCUMENTS		-
Examiner Initials*	Cite No. <sup>1</sup>			ation Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	1	JP 2001-217680		08/2001	JAPAN	0	X
	2	JP 10-117123		05/1998	JAPAN		X
	3	JP 9-321574		12/1997	JAPAN		X
	4	JP 2001-144574	-	05/2001	JAPAN		X
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<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.